

**Diploma
in Fintech
Risk & Compliance**



Building your career

The Diploma in Fintech Risk & Compliance is a level 7 minor award (40 ECTS) and will be delivered by PAT in partnership with TU Dublin. TU Dublin will hold responsibility for ensuring academic quality including responsibility for: programme validation; programme boards; exam boards; external examiners; and for ensuring adequate protection of the enrolled learner.

PAT (Professional Accountancy Training) is at the forefront of online education and digitally enhanced learning in Ireland. PAT Fintech is dedicated to the design and development of industry-led, professionally certified skills training for the accountancy and financial services sector and is currently working in partnership with the Association of Compliance Officers in Ireland (ACOI) to develop a suite of programmes for their 3,250- membership base in Ireland.

Entry Requirements

This programme is aimed at learners with a Level 6 award who wish to specialise in the field of risk and compliance with a view to entering the financial services industry, or those with a Level 6 award who are working in Financial Services and who require a qualification in the area in order to progress professionally.

For applicants not holding a level 6 Higher Certificate, entry will be determined on the basis of 'fair recognition of education and training qualifications, periods of study and prior learning, including the recognition of non-formal and informal learning'. These will be considered on a case by case basis.

For entry onto the programme, applicants must present IELTS 6.

Programme Overview

This programme is designed to address industry-wide challenges by providing professional training in Fintech Risk and Compliance. The programme provides participants with the knowledge and skills required to conduct and manage evolving compliance functions within the financial services industry.

Compliance is core to the provision of regulated financial services and the risk management of those services, and therefore the compliance professional is at the centre of the financial services industry. Given the evolution of technologically driven innovation in finance, these professionals require education and training to adapt to the evolving nature of the compliance framework, and associated risk management, in Fintech focused operating models.

Designed with compliance practitioners and developed in collaboration with the Association of Compliance Officers in Ireland (ACOI) this programme delivers the core knowledge, skills, and competencies that professionals require to work in both a Fintech environment, and an increasingly digitised compliance function.

The programme address the demands of current compliance and regulatory professionals, of varying levels of experience and seniority, and offers the opportunity to a wide variety of candidates to reskill/retrain for careers in the financial services industry. On completion, the programme provides the training students require to begin, to continue and to enhance their career paths as a compliance and regulatory professional.

Programme Delivery

The programme will be delivered part-time over one academic year in an on-line environment. The academic year is divided into two semesters, commencing September – December and January – May each year.

The design of this programme reflects the breadth of learning styles among students. Therefore, the design, delivery and assessment of the programme is centred on fulfilling those needs. PAT and TU Dublin will prepare our graduates for their future careers through a process of continuous active industry engagement.

SEMESTER ONE

MODULE 1

THE FINTECH COMPLIANCE FRAMEWORK

MODULE 2

COMPLIANCE RISKS IN A FINECH ENVIRONMENT

MODULE 3

REGULATORY RISKS IN THE FINTECH ECOSYSTEM

MODULE 4

FINTECH INNOVATIONS AND COMPLIANCE RISKS

Each module will be assessed through a combination of Continuous Assessments (40%) throughout the semester, and a final exam (60%) at the end of the semester.

SEMESTER TWO

MODULE 1

FINTECH COMPLIANCE AND RISK MANAGEMENT

MODULE 2

MANAGING FINTECH OPERATIONAL RISK

MODULE 3

DIGITISAION & INNOVATION IN THE COMPLIANCE FRAMEWORK

MODULE 4

REGTECH SOLUTIONS

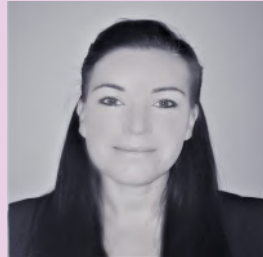
Each module will be assessed through a combination of Continuous Assessments (40%) throughout the semester, and a final exam (60%) at the end of the semester.

Meet The Team



GERRY FAHY

Established in 2017, Gerry is the Managing Director of PAT. He is a Fellow of the Chartered Association of Certified Accountants and has lectured extensively both nationally and overseas on professional accountancy programmes. Shortlisted as a CIMA global lecturer of the year - he has over 100 national and global accountancy prizewinners and is responsible with his Management Team for the development of PAT strategy.



CHRISTINE NAGLE

Head of Department of Accountancy, Finance and Professional Studies at TU Dublin. Christine is focused on the delivery of industry relevant programmes built with employers and professional bodies in mind. A fellow of Chartered Accountants Ireland, Christine has extensive industry and academic experience, which supports her in the management and development of a suite of professional programmes available within TU Dublin.

Senior Faculty



ANDREW QUINN

BBs (WIT)
MSc Financial Services (TCD)
Head of PAT Fintech & Financial Services

Andrew established the active involvement of industry in all of his programmes development and delivery, and he collaborates closely with Fintech Ireland, Fintech Scotland, and the RegTech Alliance and other industry/professional bodies.



LARRY GORDON

MSc, BFS, Chartered Banker, FIB,
FIITD, MCSI, MIoD

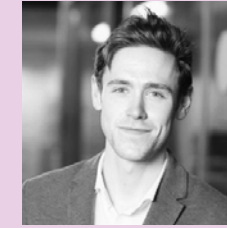
Larry is a Chartered Banker, Fellow of the Institute of Banking, Fellow of the Irish Institute of Training and Development and a member of the Chartered Institute of Securities and Investments.

Associate Faculty



KATY DINEEN

Senior Associate
- Mizen Group
Assistant Lecturer - UCC



KEAN GILBERT

BBS, BBS (Hons), MSc
SDR Team Lead
at ConsenSys



HUBERT NEWELL

MSc, BCom
Head of Fraud
at
FTX & Blockfolio



CIARA O'GRADY

LLB, LLM,
Head of Compliance &
MLRO - Revolut



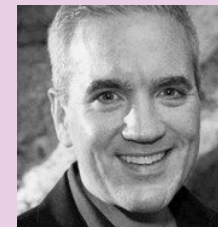
RACHEL POWER

Data Privacy / AML
Compliance Consultant
O'Dwyer Power Accountants
& Business Advisors



**DR MONIKA
SMATRALOVA**

PhD MSc
Director of Internal Audit
Barclay's Europe



PETE TOWNSEND

BSs, MBA
Founding Partner Norio
Venture



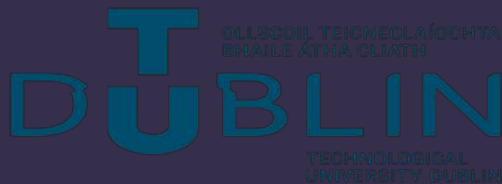
ADRIAN WHELAN

BA (Hons)
Global Head of Market
Intelligence (BBH)



RACHEL WOOLLEY

BSc, CAMS, LCOI, CFCPP,
CDPO
Global Director Financial
Crime Fenergo



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