

CPD ACCREDITATION CONFIRMATION

Diploma in Fintech Risk & Compliance NFQ

Level – 7

Programme Credits – 40 Credits

Semester 1

Module Title	Level	Credits	Course Work	End of Module Formal Examination
The Fintech Compliance Framework	7	10	40%	60%
Compliance Risks in a Fintech Environment	7	10	40%	60%
Regulatory Risks in The Fintech Ecosystem	7	10	40%	60%
Fintech Innovation & Compliance	7	10	40%	60%

CPD Accreditation Confirmation – 2023-0335

The Fintech Compliance Framework

Designation/CPD Scheme	Maximum CPD Hours
Accredited Funds Professional	3
CPD Member	2
LCI	8
Professional Banker	3
QFA	2
RS CPD Member	2

CPD Accreditation Confirmation – 2023 - 0336**Compliance Risks in a Fintech Environment**

Designation/CPD Scheme	Maximum CPD Hours
Accredited Funds Professional	3
CPD Member	2
LCI	8
Professional Banker	3
QFA	2
RS CPD Member	2

CPD Accreditation Confirmation – 2023 - 0337**Regulatory Risks in the Fintech Ecosystem**

Designation/CPD Scheme	Maximum CPD Hours
LCI	8
Professional Banker	3

CPD Accreditation Confirmation – 2023-0338**Fintech Innovation and AML Compliance**

Designation/CPD Scheme	Maximum CPD Hours
Accredited Funds Professional	0
LCI	8
Professional Banker	3
Chartered Banker	3
FCI (Compliance)	8
CFCP	2
Regulatory Reporting Professional	3

Semester 2

Module Title	Level	Credits	Course Work	End of Module Formal Examination
Fintech Compliance & Risk Management	7	10	40%	60%
Managing Fintech Operational Risk	7	10	40%	60%

Digitising & Innovation in Compliance Framework	7	10	40%	60%
Regtech (Regulatory Technology) Solutions	7	10	40%	60%

CPD Accreditation Confirmation – 2023-0339

Fintech Compliance and Risk Management

Designation/CPD Scheme	Maximum CPD Hours
LCI	8

CPD Accreditation Confirmation - 2023-1937

Managing Fintech Operational Risk

Designation/CPD Scheme	Maximum CPD Hours
Accredited Funds Professional	3
LCI	8
Professional Banker	3

CPD Accreditation Confirmation – 2023-0340

Digitising and Innovation in Compliance Framework

Designation/CPD Scheme	Maximum CPD Hours
Accredited Funds Professional	3
LCI	8
Professional Banker	3

CPD Accreditation Confirmation – 2023-0341

Regtech (Regulatory Technology) Solutions

Designation/CPD Scheme	Maximum CPD Hours
Accredited Funds Professional	3
CPD Member	2
LCI	8
Professional Banker	3
QFA	2
RS CPD Member	2

