



Subject Subject Certificates

Level 7 - Entry Requirements

Compliance Professional with a full Level 6 Award

The prospective learner is a compliance &/or regulatory professional who holds a full Level 6 award. These professionals require training to adapt to the evolving nature of the compliance framework, and associated risk management, in Fintech focused operating models. As this is an inter-disciplinary qualification it is open to individuals with a cognate background in business, finance or technology.

Compliance Professional without a full Level 6 Award

The prospective learner is currently a compliance and/or regulatory professional with varying levels of experience and seniority working at a 'traditional' financial institution. These professionals require training to adapt to the evolving nature of the compliance framework, and associated risk management, in Fintech focused operating models, but do not hold the equivalent of a full Level 6 award. Entry will be determined on the basis of 'fair recognition of education and training qualifications, periods of study and prior learning, including the recognition of non-formal and informal learning' and that the provider ethos enables flexible learning pathways. If we take ACOI as an example, their members are likely to have many years of banking, compliance or financial employment experience coupled with a collection of minor awards which would provide the necessary pre-requisites required for this programme

Non-Compliance Professional with a full Level 6 Award

The prospective learner holds full Level 6 award, and wishes to specialise in the field of risk and compliance with a view to entering the financial services industry. The course offers the opportunity to a wide variety of candidates to reskill/retrain for careers in the financial services industry. As this is an inter-disciplinary qualification it is open to individuals with a cognate background in business, finance or technology.

Recognised prior learning will be in line with TU Dublin policy and procedure on RPLs.



Subject Subject Certificates

Level 8 - Entry Requirements

Risk & Compliance Professional with a full Level 7 Award

The prospective learner is a compliance &/or regulatory professional who holds a full Level 7 award. These professionals require training to adapt to the evolving nature of the AML Framework, and associated risk management, in Fintech focused operating models. As this is an inter-disciplinary qualification it is open to individuals with a cognate background in business, accounting, finance or technology.

Risk & Compliance Professional without a full Level 7 Award

The prospective learner is currently a compliance and/or regulatory professional with varying levels of experience and seniority working at a 'traditional' financial institution. These professionals require training to adapt to the evolving nature of the compliance framework, and associated risk management, in Fintech focused operating models, but do not hold the equivalent of a full Level 7 award. Entry will be determined on the basis of 'fair recognition of education and training qualifications, periods of study and prior learning, including the recognition of non-formal and informal learning' and that the provider ethos enables flexible learning pathways. If we take ACOI as an example, their members are likely to have many years of banking, compliance or financial employment experience coupled with a collection of minor awards which would provide the necessary pre-requisites required for this programme

Non-Risk & Compliance Professional with a full Level 7 Award

The prospective learner holds full Level 7 award, and wishes to specialise in the field of AML compliance with a view to entering the financial services industry. The course offers the opportunity to a wide variety of candidates to reskill/retrain for careers in the financial services industry. As this is an inter-disciplinary qualification it is open to individuals with a cognate background in business, accounting, finance or technology.

Recognised prior learning will be in line with TU Dublin policy and procedure on RPLs.